

1. Number	Hit	Search Text	IP	Date
1	111	((crystallizing or crystallization) with (pzt or ferroelectric)) and (Ti with (lower or bottom) adj electrode )	USPAT; US-PGPUB	2003/05/02 10:12
2	4	((crystallizing or crystallization) with (pzt or ferroelectric)) and (Ti with (lower or bottom) adj electrode )) and (Ti with (migration or migrating )	USPAT; US-PGPUB	2003/05/02 10:12
3	1	((crystallizing or crystallization) with (pzt or ferroelectric)) and (Ti with (lower or bottom) adj electrode))	EPO; JPO; DERWENT; IBM_TDR	2003/05/02 10:16

US-PAT-NO:

6495412

DOCUMENT-IDENTIFIER:

US 6495412 B1

TITLE:

Semiconductor device having a  
ferroelectric capacitor  
and a fabrication process thereof

----- KWIC -----

A semiconductor device having a ferroelectric capacitor is formed by the steps of forming a lower electrode on a substrate, applying a rapid thermal annealing process to the lower electrode, depositing, after the step of rapid thermal annealing process, a ferroelectric film on the lower electrode, crystallizing the ferroelectric film by applying a thermal annealing process to the ferroelectric film, and forming an upper electrode on the ferroelectric insulation film.

Further, there is provided an adhesion layer 17 having a Ti/TiN structure on the interlayer insulation film 15 so as to cover the exposed part of the plug 16, and a lower electrode 18 of Pt is formed on the foregoing adhesion layer 17. The lower electrode 18 is covered by a ferroelectric capacitor insulation film 19 of PZT or PLZT, and an upper electrode of Pt is formed on the ferroelectric capacitor insulation film 19.

Unfortunately, the foregoing approach to convert the lower electrode 18 once into amorphous phase and then to cause a crystallization by an RTA process is ineffective for achieving a satisfactory degree of crystallization in the lower electrode 18, and no desirable crystal orientation is

obtained in the ferroelectric capacitor insulation film 19. Further, when a high-temperature thermal annealing process is applied to the lower electrode 18 in a furnace, there is a tendency that the hillock phenomenon is induced in the lower electrode 18, wherein such a hillock caused in the lower electrode 18 decreases the yield of the semiconductor device.

In the formation of the ferroelectric capacitor as noted above, it is very important to crystallize the ferroelectric capacitor insulation film 19 by conducting a crystallization process. Further, it is also very important to control the process of forming the upper electrode 20. Without such a crystallization process, no desirable property is obtained for the ferroelectric capacitor.

Conventionally, such a ferroelectric capacitor is formed first by forming the adhesion layer 17 of the Ti/TiN structure and then the lower electrode 18 of Pt by a sputtering process conducted on the interlayer insulation film 15 in a reducing or inert atmosphere. Next, the ferroelectric capacitor insulation film 19 of PZT is formed on the lower electrode 18 by a sputtering process. By forming the lower electrode 18 in a reducing atmosphere or inert atmosphere, the problem of oxidation of the lower electrode 18 and associated problem of increase of the resistance are successfully avoided.

Next, the ferroelectric capacitor insulation film 19 is subjected to a thermal annealing process in an oxidizing atmosphere at a temperature of typically 700-800.degree. C., and the ferroelectric capacitor insulation film 19 thus formed undergoes a crystallization. Thereby, it has been practiced to

conduct the crystallization process in an oxidizing atmosphere so that the formation of oxygen defects in the ferroelectric capacitor insulation film 19, caused as a result of diffusion of oxygen atoms from the ferroelectric capacitor insulation film 19 to the lower electrode 18, is successfully compensated for. As a result of the crystallization, the ferroelectric capacitor insulation film 19 shows a preferable hysteresis as represented in FIG. 2, with a spontaneous polarization  $2P_r$ .

crystallizing said ferroelectric film by applying a thermal annealing process to said ferroelectric film; and

crystallizing said ferroelectric film by applying a thermal annealing process to said ferroelectric film; and

According to the present invention, Ti migrates from an adhesion layer formed underneath said lower electrode to the surface thereof in the form of  $TiO_{sub.x}$  as a result of the rapid thermal annealing process applied to the lower electrode. Thereby,  $TiO_{sub.x}$  thus migrated functions as nuclei of crystal growth and the ferroelectric crystals of the ferroelectric film, which may either of PZT, PLZT, BST or SBT, deposited on the lower electrode grows generally in the  $\langle 111 \rangle$  direction. Because of the  $\langle 111 \rangle$  orientation of the ferroelectric film, the spontaneous polarization is of the ferroelectric film is maximized. By conducting the thermal annealing process of the lower electrode only for a short time in the form of RTA, the oxidation of the lower electrode is avoided successfully. Further, the formation of unwanted hillock is avoided successfully.

FIG. 10 is a diagram showing a temperature control

program used for  
crystallizing the ferroelectric capacitor of the third  
embodiment;

Referring to FIG. 3A, a SiO.sub.2 film 32 is formed on a  
Si substrate 31 by  
a thermal oxidation process with a thickness of 200 nm, for  
example, and a  
lower electrode 33 of Pt is formed on the SiO.sub.2 film 32  
by a D.C.  
sputtering process conducted at a room temperature, with an  
adhesion layer 33A  
of Ti interposed between the SiO.sub.2 film 32 and the  
lower electrode 33.

More specifically, the Ti adhesion layer 33A is formed  
in an Ar atmosphere  
under the pressure of 10 mTorr with a thickness of about 20  
nm as represented  
in TABLE I below. Further, the lower electrode 33 is  
formed under the same  
condition with a thickness of about 100 nm. The deposition  
of the Ti film 33A  
and the lower electrode 33 is conducted by setting the D.C.  
plasma power to 1  
kW, wherein the deposition of the Ti film 33A is conducted  
for the duration of  
10 seconds while the deposition of the lower electrode 33  
is conducted for the  
duration of 20 seconds.

In the present embodiment, it is believed that the  
thermal annealing process  
applied to the lower electrode 33 induces a migration of Ti  
atoms from the  
underlying adhesion layer 33A to the top surface of the  
lower electrode 33 and  
the Ti atoms thus migrated form TiO.sub.x on the top  
surface of the lower  
electrode 33, wherein TiO.sub.x thus formed acts as the  
nuclei for the growth  
of the PLZT crystal grains in the <111> direction.  
About the <111>  
growth of PLZT crystals from the TiO.sub.x nuclei,  
reference should be made to  
Murali, P., et al., J. Appl. Phys. vol.83, No.7,  
pp.3835-3841.

In the step of FIG. 6D, it should be noted that the conductive film 63 thus deposited is further subjected to a rapid thermal annealing process in an Ar atmosphere at the temperature of about 650.degree. C. for 1-60 seconds. As a result of the RTA process thus applied to the conductive film 63, the Ti atoms cause a migration from the underlying Ti adhesion layer to the top surface of the conductor film 63, and there are formed TiO.sub.x nuclei on the top surface of the conductive film 63.

More in detail, it is believed that such a depression in the lower electrode 33 is formed as a result of concentration stress to the grain boundary in the lower electrode 33. When the RTA process is applied to the lower electrode 33, the Ti atoms cause a migration from the underlying adhesion layer to the top surface of the electrode 33 to form the TiO.sub.x compound as explained before, wherein the migration of the Ti atoms occurs predominantly along such grain boundaries and there occurs a segregation of the Ti atoms to the grain boundary in the lower electrode 33. Due to the growth of the PLZT crystals starting from such grain boundaries of the lower electrode 33, the lower electrode 33 experiences a concentration of stress in such grain boundaries.

Referring to FIG. 9A, a SiO.sub.2 film 132 is formed on a Si substrate 131 with a thickness of about 200 nm by a thermal oxidation process, and a lower electrode 133 is formed on the SiO.sub.2 film 132 by stacking a Ti film and a Pt film consecutively under a condition of TABLE VII.

FIG. 11 shows the fatigue of the ferroelectric capacitor 130 thus obtained in comparison with the fatigue of a conventional

ferroelectric capacitor, wherein the conventional ferroelectric capacitor represented in FIG. 11 is formed by crystallizing the PLZT film 134 in an oxidizing atmosphere and by forming the upper electrode 135 by a sputtering conducted in an Ar atmosphere.

Referring to FIG. 20, the high-dielectric capacitor 180 is formed on a Si substrate 181 covered by an adhesion layer 182 of Ti and a diffusion barrier layer 183 of TiN, and includes a lower electrode 184 of Ru, a BST film 185 formed on the lower electrode 184, and an upper electrode 186 of Pt formed on the BST film 185.

The high-dielectric capacitor of the present invention has a construction similar to the high-dielectric capacitor of FIG. 20 except that the Ti adhesion layer 182 and the TiN diffusion barrier layer 183 are replaced by a single Ta film and that a Pt electrode is used in place of the Ru lower electrode 184 and is fabricated according to the process of FIG. 28 which is similar to the process of FIG. 21. Thus, in the description hereinafter, those parts of the high-dielectric capacitor corresponding to the parts described previously are designated by the same reference numerals and the description thereof will be omitted.

More specifically, the fabrication process starts with the step 21 of FIG. 33 corresponding to the step 1 of FIG. 21 in which the Ti adhesion layer 182, the TiN diffusion barrier layer 183 and the lower electrode 184 of Ru are deposited consecutively on the Si substrate 181 by a D.C. sputtering process under a substrate temperature of 300.degree. C., respectively with the thicknesses of 20 nm, 50 nm and 500 nm. Further, in the

step 33 corresponding to the step 2 of FIG. 21, the BST film 185 is formed on the lower electrode 184 of Ru with a thickness of about 30 nm by conducting an R.F. sputtering process at a substrate temperature of 400.degree. C.

In the case the foregoing crystallization process of the step 23 and the oxidizing step 24 of FIG. 33 are conducted in the form of a single annealing step in an oxidizing atmosphere at the temperature exceeding 400.degree. C., on the other hand, it was observed that there occurs a substantial oxidation not only in the lower electrode 184 of Ru but also in the TiN layer 183 or the Ti layer 182 caused by the oxygen atoms diffused from the BST film 185. Associated therewith, there tends to occur a formation of irregular surface in these layers, and the TiN layer 183 may no longer function as the diffusion barrier. Further, there may be caused a short-circuit between the upper electrode 186 and the lower electrode 184. It should be noted that the oxidation of the TiN barrier layer 183 may cause the problem of poor conduction between the lower electrode 184 and the memory cell transistor.

In the present embodiment, the foregoing various problems are successfully avoided by conducting the crystallization process and the oxidizing process separately, and by choosing the temperature of the oxidizing process so that there occurs no substantial oxidation in the Ru lower electrode 184, TiN barrier layer 183 or the Ti layer 182.

Referring to FIG. 39, the fabrication process starts with the step 31 in which the Ti adhesion layer 182, the TiN diffusion barrier layer 183 and the lower electrode 184 of Ru are deposited consecutively on



the Si substrate 181 by a D.C. sputtering process conducted at the substrate temperature of 300.degree. C. with respective thicknesses of 20 nm, 50 nm and 500 nm. Further, in the step 31, the BST film 185 is formed on the lower electrode 184 with a thickness of about 30 nm by a R.F. sputtering process conducted at the substrate temperature of 400.degree. C.

Referring to FIG. 42, the fabrication process starts with the step 41 in which the Ti adhesion layer 182, the TiN diffusion barrier layer 183 and the lower electrode 184 of Ru are deposited consecutively on the Si substrate 181 by a sputtering process conducted at the substrate temperature of 300.degree. C. with respective thicknesses of 20 nm, 50 nm and 500 nm.

Referring to FIG. 44, the fabrication process starts with the step 51 in which the lower electrode 33 of the Pt/Ti structure is formed on the SiO<sub>2</sub>sub.1 film 32 covering the Si substrate 31 under the condition of TABLE VI. Further, the PLZT film 34 is formed on the lower electrode 33 in the step 52 under the condition represented in TABLE 8.

Referring to FIGS. 46A and 46B, it can be seen that the lower electrode 33 of FIG. 46A contains a substantial amount of oxygen and Ti, **while in the lower electrode 33 of FIG. 46B, the amount of oxygen 1 and Ti is reduced** substantially. The result of FIGS. 46A and 46B suggests that there occurred a substantial densification in the lower electrode 33 as a result of the first phase thermal annealing of FIG. 45 which is conducted in the inert atmosphere.

In the present embodiment, it should be noted that the ferroelectric film 134 is not limited to PLZT but the ferroelectric film 134

may be formed of any ferroelectric or high-dielectric material having the perovskite structure. Further, the lower electrode is by no means limited to the Pt film deposited on the Ti film but may be formed of a refractory metal such as Ru or Ir, or a conductive oxide such as  $\text{RuO}_{0.2}$  or  $\text{IrO}_{0.2}$ .